



Name	Act	Section	Allegations	Outcome
Clifford James Higgins	Plumbers, Gas Fitters and Electricians Act  Fair Trading Act	13(a)  81(1)	Performed gas fitting work whilst unregistered as a worker.  Acted contrary to an Assurance accepted by the Commissioner.	On 1 July 2010 Higgins was convicted and fined \$3,500.
Branfox Pty Ltd	Building Work Contractors Act	6(1)(a) & (b)	Carried on business as a building work contractor, while unlicensed and held itself out as being entitled to carry on business as a builder.	On 20 July 2010 the company was convicted and fined \$7,000.
Norman Mark Axon	Building Work Contractors Act	6(1)(a) & (b)	As a director of Branfox Pty Ltd is vicariously liable for offences committed by the company. The company carried on business as a building work contractor, while unlicensed and held itself out as being entitled to carry on business as a builder.	On 20 July 2010 Axon was convicted and fined \$3,000.
DG Professional Financial Services Pty Ltd	Conveyancers Act	5	Holding out as being entitled to carry on business as conveyancer whilst unregistered.	On 29 July 2010 the company was convicted and fined \$2,000.
Nicholas Ginever	Conveyancers Act	5	As a director of DG Professional Financial Services Pty Ltd is vicariously liable for offences committed by the company.  Holding out as being entitled to carry on business as conveyancer whilst unregistered.	On 29 July 2010 Ginever was convicted and fined \$12,000.
Kim Tranh Duong	Conveyancers Act	5	As a director of DG Professional Financial Services Pty Ltd is vicariously liable for offences committed by the company.  Holding out as being entitled to carry on business as	On 29 July 2010 Duong was convicted and fined \$9,000.



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			conveyancer whilst unregistered.	
Bernard Ignatius Flood	Conveyancers Act	45(1)(c)	Acted negligently and unlawfully whilst carrying on business as a conveyancer.	On 3 September 2010 Mr Flood was reprimanded and fined \$2,000.
Laprac Pty Ltd	Building Work Contractors Act	21(1)(c)	Acted unlawfully and negligently whilst engaged in the business of a builder	On 8 September 2010 the company was fined \$1200.
Michael Marshman	Building Work Contractors Act	21(1)(c)  21(2)(b)	As a director of Laprac Pty Ltd is liable for disciplinary action for the conduct of the company.  Acted negligently in the course of acting as a building work supervisor.	On 8 September 2010 Mr Marshman was fined \$400.
John Meyer	Building Work Contractors Act	6(1)(a)	Carried on business as a building work contractor, while unlicensed	On 16 September 2010 Mr Meyer was convicted and fined \$4,000. Jointly with Ms Meyer-Jennings the defendants were ordered to pay a total of \$6,041.60 in compensation to two clients.
Karen Meyer-Jennings	Building Work Contractors Act	6(1)(a)	Carried on business as a building work contractor, while unlicensed	On 16 September 2010 Ms Jennings- Meyer was convicted and fined \$4,000. Jointly with Mr Meyer the defendants were ordered to pay a total of \$6,041.60 in compensation to two clients.
Milorad Ivanovic	Building Work Contractors Act	6(1)(a) 34(a)	Carried on business as a builder while unlicensed. Failed to take out a policy of building indemnity insurance.	On 17 September 2010 Mr Ivanovic was convicted and fined \$7,500.



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Jesse Kavanagh	Second-hand Vehicle Dealers Act	34(1)	Interfered with the odometer of a vehicle.	On 21 September 2010 Mr Kavanagh was convicted and fined \$250.
Ronald Neville McGorm	Building Work Contractors Act	34(a) 28(1)(f) 28(1)(a) 30(1)(b)	Failed to have in place a policy of building indemnity insurance. He failed to commit the building contract to writing. Failed to provide a Form 1 notice in the prescribed form containing the prescribed information and a copy of the signed contract. Demanded a deposit payment in excess of the prescribed amount.	On 5 October 2010 Mr Mc Gorm was convicted and given a 3 year bond in the sum of \$2,000 to be of good behaviour.
Warren Victor Pearse	Building Work Contractors Act	6(1)(a)	Carried on business as a builder whilst unlicensed.	On 11 October 2010 Mr Pearse was convicted and fined \$6,000. Mr Pearse was also ordered to pay a total of \$5,000 in compensation to three clients.
Steven Charles Carpenter	Building Work Contractors Act	6(1)(a) 6(1)(b)	Carried on business as a building work contractor, while unlicensed. Holding himself as being entitled to carry on business as a building work contractor whilst unlicensed.	On 15 October 2010 Mr Carpenter was convicted ordered to perform 300 hours of community service. Mr Carpenter was also ordered to pay a total of \$4,273.51 in compensation to three clients.
Kalitheia Pty Ltd	Fair Trading Act	58(g)	Made a false and misleading statement with respect to the price of goods.	On 20 October 2010 Kalitheia Pty Ltd was convicted and fined \$1,200.
Arunkumar Yarasi	Second-hand Vehicle Dealers Act  Second-hand Vehicle Dealers Regulations  Fair Trading Act	14(1), 16(1), 17(3), 18(1)  20(3)  58(a)	Whilst carry on business as a licensed vehicle dealer he failed to:-  • Conduct business from a registered premises; • Attach to vehicles for sale a display notice; • Use compliant contracts for the sale of	On 27 October 2010 Mr Yarasi was convicted and fined \$6,200.





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Clifford James Higgins	Fair Trading Act	82(1)(a)	Acted contrary to an Assurance accepted by the Commissioner.	On 22 February 2011 Mr Higgins was permanently prohibited from personally carrying out gas fitting work.
Arunkumar Yarasi	Second-hand Vehicle Dealers Act	27(1)(c)	Acted unlawfully whilst conducting the business as a second hand vehicle dealer.	On 25 March 2011 the Court ordered that Mr Yarasi's licence be suspended for 6 months
Benjamin Buttigieg	Second-hand Vehicle Dealers Act	27(1)(c)  27(1)(h)	Acted unlawfully and improperly whilst conducting the business as a second hand vehicle dealer.  Events have occurred so that he does not now meet the eligibility criteria to be granted a license.	On 12 April 2011 the Court ordered that Mr Buttigieg be permanently disqualified from holding or obtaining a licence, permanently disqualified from being employed or otherwise engaged in the business of a dealer and permanently disqualified from being a director of, or having an interest in, a body corporate that is a dealer.
Prestige Fencing Contractors Pty Ltd	Building Work Contractors Act 1995	34(a) 28(1)(f) 30(1)(b)	Failed to provide a certificate of building indemnity insurance. Failed to provide a Form 1 notice in the prescribed form containing the prescribed information. Demanded a deposit payment in excess of the prescribed amount.	On 29 June 2011 Prestige Fencing Contractors Pty Ltd was fined \$1500 and convicted on all counts except the count relating to a breach of 34(a) of the Building Work Contractors Act.
David Day	Building Work Contractors Act 1995	34(a) 28(1)(f) 30(1)(b)	As a director of Prestige Fencing Contractors Pty Ltd is vicariously liable for offences committed by the company.  Failed to provide a certificate of building indemnity insurance. Failed to provide a Form 1 notice in the prescribed form containing the prescribed information. Demanded a deposit	On 29 June 2011 Mr Day was fined \$1000 and convicted on all counts except the count relating to a breach of 34(a) of the Building Work Contractors Act..



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			payment in excess of the prescribed amount.	
Marilyn Day	Building Work Contractors Act 1995	34(a) 28(1)(f) 30(1)(b)	As a director of Prestige Fencing Contractors Pty Ltd is vicariously liable for offences committed by the company.  Failed to provide a certificate of building indemnity insurance. Failed to provide a Form 1 notice in the prescribed form containing the prescribed information. Demanded a deposit payment in excess of the prescribed amount.	On 29 June 2011 Ms Day was fined \$1000 without conviction.
Emacord Autos Pty Ltd	Second-hand Vehicle Dealers Act	27(1)(c)	The company acted improperly and unlawfully whilst engaged in the business of second-hand vehicle dealer	Company in liquidation no orders made against the company.
David William Lines	Second-hand Vehicle Dealers Act	27(1)(c )  27(1)(d)	As a director of Emacord Autos Pty Ltd is vicariously liable for improper and unlawful conduct of the company.  Acted improperly in the course of managing and supervising the business of the company.	On 20 May 2011 the court ordered that Mr Lines be disqualified from being licensed and prohibited from being a director or having an interest in a body corporate that is a dealer until further order
Grantley David Murdoch	Second-hand Vehicle Dealers Act	27(1)( c)  27(1)(h)	As a director of Emacord Autos Pty Ltd is vicariously liable for improper and unlawful conduct of the company.  Events have occurred so that he does not now meet the eligibility criteria to be granted a license.	On 20 May 2011 the Court ordered that Mr Murdoch be permanently disqualified from being licensed, prohibited from being a director or having an interest in a body corporate that is a dealer and prohibited from being employed by or otherwise engaged in the business of a dealer.
Warren Victor Pearse	Building Work Contractors Act 1995	21(1)(c )	Acted improperly, negligently and unlawfully whilst carrying	On 23 June the Court ordered that Mr Pearse be permanently



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			on business as a building work contractor.	prohibited from being employed or otherwise engaged in the business of a building work contractor. Prohibited from being a director of a body corporate that is a building work contractor. Prohibited from carrying on business as a building consultant. Prohibited from being employed or otherwise engaged as a building consultant. Prohibited from being a director of a body corporate that is a building consultant. Disqualified from being licensed or registered under the Act.
Kenneth Mike Mendrin	Plumbers, Gas Fitters and Electricians Act	13(a)	Performed electrical work whilst unregistered as a worker.	On 26 May 2011 Mr Mendrin was fined \$2,000 without conviction